



**TEXAS**  
Health and Human  
Services

# **Updated HHSC Form 1012 – Mental Illness/Dementia Resident Review**

---

**Valerie Krueger**  
**Mental Health PASRR Specialist**

# Session Objectives

---

At the conclusion of this session participants will understand:

- The changes to the current Form 1012 - Mental Illness/Dementia Resident Review; and
- How to complete the updated Form 1012.



**TEXAS**  
Health and Human  
Services

# History

---

- Pre-admission Screening and Resident Review (PASRR) is a federally mandated program that requires all states to pre-screen all individuals, regardless of payor source or age, seeking admission to a Medicaid certified nursing facility.
- It was created in 1987 as part of the nursing home reform, through language in the Omnibus Budget Reconciliation Act.



TEXAS  
Health and Human  
Services

# Compliance

---

PASRR has three goals:

- To identify individuals with mental illness (MI), intellectual disability (ID) or developmental disability (DD)/related conditions (RC) (this includes adults and children);
- To ensure appropriate placement, whether in the community or in a nursing facility (NF); and
- To ensure individuals receive the required services for their MI, ID or DD.



TEXAS  
Health and Human  
Services

# Form 1012

---

The purpose of Form 1012 - Mental Illness/Dementia Resident Review, is to determine if a person with a current negative PL1 needs further evaluation for MI.

Form 1012 should be completed by the NF when the NF learns new information about the person's diagnosis, medical condition or history.



**TEXAS**  
Health and Human  
Services

# Form 1012

---

Form 1012 is not used when:

- A person has a positive PL1 and a negative PE;
- A person has a positive PE for MI but now has a primary diagnosis of dementia; or
- A person with a negative PL1 but is suspected of having an ID/DD/RC.



TEXAS  
Health and Human  
Services

# Form 1012 - Changes

---

- Only the NFs complete Form 1012.
- When a person has a primary diagnosis of dementia, Form 1012 is no longer faxed to the LMHA/LBHA.
- Dementia Review section is now located before the MI Indication Section.
- Physicians only sign the form if the person has a primary diagnosis of dementia or does not have a MI.
- Clarified definitions of mental illness and dementia.



**TEXAS**  
Health and Human  
Services

# Form 1012 – Section A

---

## Resident and NF Identifying Information:

- Resident's name, birthdate, Medicaid number (if they have one), and Social Security Number.
- NF information to include all identifying information listed on Form 1012.



**TEXAS**  
Health and Human  
Services



# Form 1012 - Section B

---

Determine if the individual has a primary diagnosis of dementia as documented in the medical record by the physician.

- If No, there is no dementia diagnosis or dementia is not primary, proceed to Section C.
- If Yes, the individual has a primary diagnosis of dementia.
  - ▶ Physician signs and dates the form;
  - ▶ NF completes Sections D and E; and
  - ▶ NF files the form in the resident's medical record.



**TEXAS**  
Health and Human  
Services

# Form 1012 – Section C

---

## MI Indication

- Review the MI diagnoses listed.
- Indicate if the individual has a MI diagnosis by selecting the Yes or No response.

If all the responses are No:

- The physician signs and dates the form; and
- NF completes Sections D and E.



**TEXAS**  
Health and Human  
Services

# Form 1012 - Section C

---

If Yes is selected for one or more MI diagnosis, the NF:

- Completes a new positive PL1; and
- Completes Sections D and E.

Note: If response is Yes for #8. Other MI Disorder – list the MI diagnosis in comment box provided.



TEXAS  
Health and Human  
Services

# Form 1012 – Additional Guidance

---

What is not considered Mental Illness:

- Neurocognitive Disorders, such as Alzheimer's disease, other types of dementia, Parkinson's disease, and Huntington's disease, are not indicative of a mental illness (Diagnostic and Statistical Manual of Mental Disorders – DSM-5).
- Depression, unless diagnosed as major depressive disorder in the medical record by the physician, is not defined as a mental illness.



**TEXAS**  
Health and Human  
Services

# Mental Illness/Dementia Resident Review – Form 1012

---

## Additional Guidance

- Psychological changes that are co-occurring with dementia that may be confused with mental illness:
  - ▶ Personality Changes
  - ▶ Depression
  - ▶ Anxiety
  - ▶ Inappropriate Behavior
  - ▶ Paranoia
  - ▶ Agitation
  - ▶ Hallucinations

Note: Unless an individual has a mental illness before a diagnosis of dementia, these changes are caused by their dementia.



**TEXAS**  
Health and Human  
Services

# Form 1012 - Section D

---

## NF Action:

- If PL1 remains negative, NF files completed form in the resident's chart.
- If the NF submits a new positive PL1, the NF documents date of submission and DLN number.
  - ▶ LMHA/LBHAs receive an alert to complete the PE
  - ▶ PE determines if the person is PASRR positive for MI

Note: A diagnosis of MI alone does not confirm PASRR eligibility for MI as other factors are considered.



TEXAS  
Health and Human  
Services

# Form 1012 - Section E

---

Staff Completing Form:

- The name, title, signature, and signature date must be completed in this section before being placed in resident's chart.



TEXAS  
Health and Human  
Services

# Form 1012 – Physician signature

---

Only a physician, which includes a psychiatrist, can sign Form 1012. A Nurse Practitioner or Physician's Assistant cannot sign this form.



TEXAS  
Health and Human  
Services



# Mental Health Resource

---

<https://hhs.texas.gov/services/mental-health-substance-use/adult-mental-health>



**TEXAS**  
Health and Human  
Services



**TEXAS**  
Health and Human  
Services

# Thank you

---

**Mental Health PASRR**

**[PASRR.Support@hhsc.state.tx.us](mailto:PASRR.Support@hhsc.state.tx.us)**